

Agent code:

P32JH1W

1 To the Manager of Newton SRI Fund for Charities (block capitals)

Unless the context otherwise requires, all capitalised terms shall have the same meanings as in the Prospectus for the Newton SRI Fund for Charities (the "Trust"). Please state the official name of the charity, as recorded by the relevant charity Regulator for England, Wales, Scotland or Northern Ireland or as detailed on the charity trust deed or document that establishes the charitable status of the organisation. We wish to purchase units in Newton SRI Fund for Charities on behalf of:

Name of Charity:

Are you an existing client? Yes No

Charity registration number: _____ and HMRC exemption number: _____

Contact name: Mr/Mrs/Miss/Ms/other (please state)

Registered address:

Postcode:

Tel No.:

Fax No.:

email:

Please note that by completing this Application Form you are disclosing personal information. Please see the Privacy Notice at Appendix 1, which details how your personal information will be used, shared and transferred.

2 Constitution of the charity (please tick one box to indicate how the charity is set up and briefly indicate the broad nature and purpose of the charity)

By Trust Deed with individual Trustees

Limited Company (please provide limited company number): _____

Unincorporated Association (normally run by elected officers)

Other (eg church body, Act of Parliament) – please specify: _____

Nature and purpose of the charity: _____

3 How the investment is to be registered (please tick one box and refer to section 8 for AML requirements)

Register in the name of the charity (as above in Section 1 i.e. registered as Trustees of "ABC Charity")

Register in the name of the Trustees (named in Section 10 i.e. registered as "Trustee Name/(s)" as Trustees of "ABC Charity")

Register in the name of the nominee company (complete Section 5)

4 Charity correspondent (optional)

Please provide details of the charity correspondent to whom all correspondence will be sent, if different from Section 1

Title (Mr/Mrs/Miss/Other): _____

Surname: _____

First name: _____

Telephone number: _____

Address for correspondence: _____

Postcode: _____

5 Nominee company details (if applicable)

Please provide the full name and registered address of the nominee company.

Name of nominee company (and designation if applicable):		
Name of Parent Company:	Name of parent company's regulator and reference number:	
Address:		
	Postcode:	Telephone number:

6 Investment details (block capitals)

Please specify below how much you wish to invest. Minimum initial investment is £5,000. For additional investments, the minimum is £2,500. If you wish to take a regular income from your investment, please complete section 7 below. Payment can be made by cheque to BNY Mellon Fund Managers Limited

	Investment amount
Income units:	£
Accumulation units:	£

7 Treatment of income and disinvestment

Income will be automatically reinvested to maximise your investment unless section 7 is completed. No initial charge is applied to the reinvestment of income. Income will be paid quarterly. Please note that we are unable to make payments to third parties.

Please complete this section so that income and disinvestments can be paid by direct credit to your bank or building society. Any amendments to existing bank details must be notified by a separate letter.

Name of bank or building society account where you want income paid	Branch sort code:	<input type="text"/>
Address of bank or building society	Bank/building society:	<input type="text"/>
Postcode	Account number:	<input type="text"/>
	Building society roll number:	<input type="text"/>
	Account name:	<input type="text"/>

8 Anti-money laundering documentation required

All applicants must provide a copy of the charity's trust deed or other relevant governing document.

Charities (if registered in the name of the charity) **For each trustee/individual signing this application form please enclose one item each from A and B** (signatories must include a minimum of two trustees who must enclose one item each from A and B.)

- a) A copy of photo identity (one of: valid passport, valid photocard driving licence (full or provisional) or national identity card)
- b) A copy of separate proof of address (one of: instrument of court appointment, current council tax demand letter or statement, current bank or credit card statement from an FCA or EU-regulated firm*, utility bill* dated within the last three months (*not printed from the internet))

Trustees (if registered in the name(s) of Trustee(s) on behalf of the charity) **A minimum of two trustees must sign this application form. Please enclose one item each from A and B**

- a) A copy of photo identity (one of: valid passport, valid photocard driving licence (full or provisional) or national identity card)
- b) A copy of separate proof of address (one of: instrument of court appointment, current council tax demand letter or statement, current bank or credit card statement from an FCA or EU-regulated firm*, utility bill* dated within the last three months (*not printed from the internet))

8 Anti-money laundering documentation required (continued)

To comply with the anti-money laundering regulations, we may undertake a search with an electronic data provider for the purposes of verifying your identity. To do so an electronic data provider may check details you supply against any particulars on any database (public or otherwise) to which they have access. They may also use your details in the future to assist other companies for verification purposes. A record of the search will be retained.

Nominee company (if registered in the name of the nominee) For the nominee company named on this application, please provide confirmation of the following

- 1) Name of regulated parent company
- 2) Name of regulator and reference number (ie FCA Firm Reference Number)

9 Certifications/Representations/Warranties/Acknowledgments

The applicant ("we/us") hereby applies for Units subject to and upon the terms set out in the Prospectus and any applicable supplement which is current as at the date of this application for Units (the "Prospectus"), the trust deed, (the "Deed") and this Application Form. We make the following certifications, representations, warranties and acknowledgments for and on behalf of ourselves and any customer on behalf of whom we are purchasing or redeeming Units (each a "Customer"):

1. We have full right, power and authority to purchase and redeem Units for our own account and/or for the account of each of our Customers, and
 - (a) the Units to which this application relates are and will at all times be held on behalf of a charity, the income of which is to be applied for charitable purposes;
 - (b) the charity is not precluded from participating in common investment schemes; and
 - (c) the charity is eligible to invest in the Trust.
2. We, having received and considered a copy of the Prospectus, hereby confirm that an application for Units is based solely on the Prospectus, the Deed, the application form and the most recent annual report and accounts of the Trust and (if issued after such report and accounts) its most recent unaudited semi-annual report.
3. We agree that any issue and allotment of Units to us or any Customer is subject to the provisions of the Prospectus, the Deed and this Application Form and we confirm that we are not relying on any information or representation other than such as may be contained in the Prospectus and the Deed.
4. We agree to notify the Trust immediately in writing if we become aware that any of the certifications, representations, warranties or acknowledgments are no longer accurate and, if necessary, to agree immediately either to sell or redeem a sufficient number of Units to allow the applicable certification, representation, warranty or acknowledgment to be made. In the absence of such notification, all certifications, representations, warranties and acknowledgments shall be deemed to be re-made and reconfirmed with each future application for Units, however made.
5. We have sufficient knowledge and experience in business and financial matters such that we are capable of evaluating the merits and risks of an investment by us or any of our Customers in the Trust. No oral representations have been made or oral information furnished to us or our advisors in connection with the purchase of Units that were in any way inconsistent with the Prospectus and the Deed.
6. We understand that the tax disclosure set forth in the Prospectus is of a general nature and may not cover the jurisdiction in which we or our Customers are subject to taxation and that the tax consequences of our purchase of Units for ourselves or on behalf of any of our Customers depends on our or their individual circumstances.
7. We shall provide the Trust, the Manager, the Trustee and/or any of their Associates with any additional information which they may reasonably request in connection with anti-money laundering, tax or other similar requirements in order to substantiate any representations made by us and we authorise the Trust, the Manager, the Trustee and/or any of their Associates or their respective agents to disclose such information relating to this application to such persons as they consider appropriate.
8. We acknowledge that the Manager reserves the right to reject our holding or any application in whole or in part.
9. We acknowledge that the Trustee will be entitled to cancel our holding or any of our rights in the Units, and any loss suffered by the Trust. In the case of default, we agree that the Manager will hold us liable, or jointly and severally liable with any agent of ours, for any loss sustained by the Manager as a consequence of a fall in the price of the Units and any issues suffered by the Trust.
10. We hereby confirm that we and our Customers are eligible to invest in Units of the Trust in accordance with the laws, rules and regulations to which we and our Customers are subject including, without limitation, the laws, rules and regulations in the country/territory in which we and our Customers are domiciled.
11. We hereby indemnify and hold harmless the Trust, the Manager and the Trustee and their respective Associates, delegates, officers, employees and agents (the "Indemnified Persons") from and against any and all actions, proceedings, claims and demands which may be brought or made against any Indemnified Person and any and all liabilities, losses, damages, costs and expenses (including without limitation, legal fees and expenses) incurred by any Indemnified Person as a result of our failure to ensure that the full amount of any subscription monies is sent by the latest time for receipt of such funds listed in the Prospectus in order for an investor to receive the net asset value calculated on the Business Day on which the subscription order is placed.
12. We hereby indemnify and hold harmless the Indemnified Persons from and against any and all actions, proceedings, claims and demands which may be brought or made against any Indemnified Person and any and all liabilities, losses, damages, costs and expenses (including without limitation, legal fees and expenses) incurred by any Indemnified Person as a result of any failure to process this application or otherwise if any information requested pursuant to (7) above has not been provided by us.
13. Instructions and Communications
 - (a) We authorise the Trust, the Manager and the Trustee to accept instructions by telephone or letter.
 - (b) The Trust, the Manager and the Trustee reserve the right not to act upon instructions until written confirmation has been received although the lack of any such confirmation will not invalidate any such instructions that have already been acted upon. The Trust, the Manager and the Trustee shall not act upon any instruction where the relevant entity reasonably believes that such action may not be practicable or might involve any party in a breach of any law, rule or regulation.
 - (c) We hereby acknowledge that any notice or document may be served by the Manager or the Trustee on us in the manner specified from time to time in the Prospectus. If we have provided an e-mail address or fax number to the Manager or the Trustee or any of their respective delegates, we consent to any such notice or document being sent to us by fax or electronically to the fax number or mail address previously notified to the Manager or the Trustee or any of their respective delegates which we acknowledge constitutes effective receipt by us of the relevant notice or document. We acknowledge that we are not obliged to accept electronic communication and may at any time choose to revoke our agreement to receive communications by fax or electronically by notifying the Manager in writing at the above address provided that our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Manager of written notice of such revocation.
 - (d) In consideration of the Manager agreeing to accept and act in accordance with instructions received from us by fax or electronic delivery, we agree to indemnify and hold harmless the Indemnified Persons from and against any and all actions, proceedings, claims and demands which may be brought or made against any Indemnified Person and any and all liabilities, losses, damages, costs and expenses (including without limitation, legal fees and expenses) incurred by any Indemnified Person as a result of acting upon any such instructions.
 - (e) Should you wish to complain about any aspect of the service you have received, please contact the BNY Mellon Fund Managers Limited, Client Service Centre, PO Box 366, Darlington, DL1 9RF. A copy of the Manager's complaints handling procedure is available on request.
14. We acknowledge that where we have subscribed for Units through an intermediary, the intermediary does not act as an agent for the Trust, the Manager or the Trustee.
15. We understand that this subscription and any subsequent transactions are governed by English law.
16. Interest will not be paid on client money although BNY Mellon Fund Managers Limited reserves the right to do so. Where the payment of redemption proceeds is delayed due to outstanding renunciation or other outstanding documentation, the affected funds will remain in BNY Mellon Fund Managers Limited's client money account. Proceeds will be released upon receipt of renunciation. Terms not defined herein shall have the same meaning as set out in the Prospectus.

10 Client Classification

Under the Financial Conduct Authority Conduct of Business rules we are required to classify our investors. We, BNY Mellon Fund Managers Limited, classify you as a Retail Client unless otherwise notified. This means that you will have the maximum amount of protection available for complaints, compensation and will receive information in a straightforward way. However, some clients e.g. professionals may not necessarily have the same rights under the Financial Ombudsman Service and the Financial Services Compensation Scheme. Further details may be found on our website - www.bnymellonim.co.uk under Client Classification.

For the purposes of sales and redemptions, the applications will be treated as Retail.

11 Declaration

By signing and returning this Application Form, I/we* can confirm that I/we* have read and understand the current Prospectus and Key Investor Information Document (KIID) (*delete as appropriate).

12 Signatories

Please ensure the application form is signed by at least two trustees that are authorised to sign on behalf of the charity. For nominee companies it must be signed by at least two authorised nominee company signatories. An incorporated charity should sign under seal if applicable.

Title (Mr/Mrs/Miss/Other)		
Surname	First name	Date of birth
Address		
		Capacity
Signature		Date

Title (Mr/Mrs/Miss/Other)		
Surname	First name	Date of birth
Address		
		Capacity
Signature		Date

Title (Mr/Mrs/Miss/Other)		
Surname	First name	Date of birth
Address		
		Capacity
Signature		Date

Title (Mr/Mrs/Miss/Other)		
Surname	First name	Date of birth
Address		
		Capacity
Signature		Date

Please indicate how many of the above signatories are required to sign future instructions

Please note if you wish to add additional trustees/other individuals authorised to give subscriptions/redemption instructions on behalf of the charity, please provide an original certified list of signatories and please indicate how many and/or what combination are required to sign.

13 Application checklist

- Anti-money laundering documents included
- Payment included (a cheque made payable to BNY Mellon Fund Managers Limited)
- Applicable sections signed by authorised signatories

Please send your application form together with your cheque to:

**BNY Mellon Fund Managers Limited,
Client Service Centre,
PO Box 366,
Darlington,
DL1 9RF.**

Appendix 1

Privacy Notice

BNY Mellon Fund Managers Limited (BNYMFM) as the Manager of the Trust takes its data protection and privacy responsibilities seriously. This notice provides a short summary of the purposes for which your personal information is being used, shared and transferred. Please note that your personal data as provided in this Application Form and with respect to your unitholding of the Trust is and will be collected and held by BNYMFM as the Trust's Manager. Further information about how your personal information is collected, used and shared, the lawful basis on which such information is used and your associated legal rights is provided in THE BANK OF NEW YORK MELLON EMEA PRIVACY STATEMENT, which is accessible at the 'PRIVACY' link on the BNY Mellon Investment Management website (www.bnymellonim.com). Please note that where reference is made in the full privacy statement to "BNY Mellon Data Subjects" that this will also include the collection of information about you if you are a unitholder of, or prospective investor in, the Trust.

Your personal information will be used for the following purposes:

- for the provision of financial services and the administration of your investment/s;
- to confirm or update client records and to manage your relationship with us;
- to carry out 'know-your-customer checks', anti-money laundering screening and other identity checks as required by law and to meet our regulatory obligations;
- to conduct due diligence and to screen against sanctions lists;
- for the prevention and detection of fraud and other crimes;
- for compliance with legal, regulatory and tax obligations and guidance;
- in connection with legal proceedings or for obtaining legal advice; and
- to analyse the performance of IT systems, monitor usage of resources and systems and to improve products, services and the usability of technology and tools.

Your personal information may be shared within the BNY Mellon Group to: The Bank of New York Mellon (International) Limited (as the administrator for the Trust), BNY Mellon Investment Management EMEA Limited (as the global distributor and promoter of the Trust) and any sub-distributors it may have appointed within the BNY Mellon Group, BNY Mellon entities with whom you may have terms of business in support of your investment in the Trust (e.g. a fee agreement that facilitates an alternative charging structure within a unit class) and other or successor BNY Mellon service providers that may be appointed from time-to-time to provide services in connection with the Trust.

Your personal information may be shared with selected third parties including the trustee and depositary of the Trust, the auditors to the Trust and to BNYMFM, legal and other professional advisers to the Trust and to BNYMFM, appropriate authorities (including, without limitation, any tax or regulatory authorities or relevant government departments), and agencies and organisations working to prevent fraud in financial services or similar service providers.

BNYMFM will transfer or store your personal information in other countries, including those outside Europe, under the protection of appropriate safeguards.