

Dealing Instructions (Switches)



BNY Mellon Fund Managers Limited is the Authorised Corporate Director (ACD) of the Company. The ACD is responsible for managing and administering the Company’s affairs in compliance with FCA Regulations and the Treasury Regulations. The ACD will delegate investment management to one or more of its affiliated specialist investment boutiques.

This Investment Application Form is to be used by eligible UK-domiciled and non UK-domiciled applicants when applying for a new account. Shares of BNY Mellon Investment Funds (the “Company”) are available only to eligible investors who are not U.S. persons, as defined under Rule 902 of Regulation S of the Securities Act 1933 (“U.S. Persons”). Unless the context otherwise requires, all capitalised terms shall have the same meanings as in the Prospectus. Before you sign this form you should read the Prospectus and Key Investor Information Document (KIID) for each fund in which you want to invest. The Prospectus and KIID can be found at www.bnymellonim.co.uk or call 0344 892 2715.

Client Name: _____

A/C Designation: _____

A/C number: _____

Please fax to 0844 892 2716 or for International dealing fax to: +44 (0) 207 964 2708.

(If faxed, original copy of instruction is required within settlement period to settle monies)*

Date Fax sent: / /

Note: Deals will be placed at the next available Valuation Point (12pm).

Agent Code (if applicable): _____

Please note that by completing this Application Form you are disclosing personal information. Please see the Privacy Notice at Appendix 1, which details how your personal information will be used, shared and transferred.

SWITCH OUT

Fund Name & Share Class	Cash or Shares	Amount (figures)	Amount (words)

SWITCH IN

Fund Name & Share Class	Cash or Shares	Amount (figures)	Amount (words)

Authorised Signatures:

Name: _____	Signature: _____	Name: _____	Signature: _____
Name: _____	Signature: _____	Name: _____	Signature: _____

Contact Details: *(In the event that BNY Mellon Fund Managers need clarification for dealing purposes)*

Name: _____	Company: _____
Telephone: _____	Email: _____

Client classification: Under the Financial Conduct Authority Conduct of Business rules we are required to classify our investors. We, BNY Mellon Fund Managers Limited, classify you as a Retail Client unless otherwise notified. This means that you will have the maximum amount of protection available for complaints, compensation and will receive information in a straightforward way. However, some clients e.g. professionals may not necessarily have the same rights under the Financial Ombudsman Service and the Financial Services Compensation Scheme. Further details may be found on our website - www.bnymellonim.co.uk under Client Classification. For the purposes of sales and redemptions, the applicants will be treated as Retail.

Bank details (for receipt of income). If left blank, income will be reinvested

Note: if you have selected an income paying share class, please confirm where you would like the money to be settled.

Bank name: _____
Address: _____
Post code: _____

Bank Account No.: <input type="text"/>	Bank Sort Code: <input type="text"/> - <input type="text"/> - <input type="text"/>
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Account Name: <input type="text"/>

Client Money

Any cash which we hold on your behalf as client money will be held at an appropriately regulated bank or institution of our choice in the UK in accordance with our obligations under the FCA's client money rules. No interest will be paid on such cash although we reserve the right to do so.

Any such bank or institution will hold the cash on our behalf in a trust account separate to any account used to hold money belonging to us in our own right. We conduct ongoing due diligence in relation to any bank or institution with whom we deposit cash on behalf of our clients.

To the extent we are permitted to exclude liability under law or regulation, we will not be responsible for any acts or omissions of the bank or institution we may appoint to hold client money.

If the bank or institution where your money is held becomes insolvent, we may or will be treated as an unsecured creditor by the bank or institution and, subject to the insolvency laws of the UK and any other relevant jurisdiction, we will have a claim on behalf of our clients. If however the bank or institution cannot repay all of its creditors, any shortfall may have to be shared pro rata between them. You may also be entitled as an individual to claim from the Financial Services Compensation Scheme up to £85,000 in respect of the total cash you hold directly and indirectly within the failed bank or institution.

If we run into financial difficulty and cannot meet our obligations, your cash will not be available to our creditors. You are also covered by the Financial Services Compensation Scheme. You may be entitled to compensation from this scheme. Further information is available from the Financial Services Compensation Scheme <http://www.fscs.org.uk>

Declaration

By signing and returning this Application Form, I/we* can confirm that I/we* have read and understand the current Prospectus and Key Investor Information Document (KIID) (*delete as appropriate).

BNY Mellon Fund Managers Limited

Client Service Centre

PO Box 366

Darlington

DL1 9RF

Telephone: 0344 892 2715

Dealing fax: 0844 892 2716

Email: pfco@bnymellon.com

www.bnymellonim.co.uk

BNY Mellon Fund Managers Limited. Authorised and regulated by the Financial Conduct Authority. A member of the Investment Association.

BNY Mellon investment funds are distributed by BNY Mellon Investment Management EMEA Limited.

Registered office: BNY Mellon Centre, 160 Queen Victoria Street, London, EC4V 4LA. Registered in England No. 1998251.

Appendix 1

Privacy Notice

BNY Mellon Fund Managers Limited (BNYMFM) as the ACD of the Company takes its data protection and privacy responsibilities seriously. This notice provides a short summary of the purposes for which your personal information is being used, shared and transferred. Please note that your personal data as provided in this Application Form and with respect to your shareholding of the Company is and will be collected and held by BNYMFM as the Company's ACD. Further information about how your personal information is collected, used and shared, the lawful basis on which such information is used and your associated legal rights is provided in THE BANK OF NEW YORK MELLON EMEA PRIVACY STATEMENT, which is accessible at the 'PRIVACY' link on the BNY Mellon Investment Management website (www.bnymellonim.com). Please note that where reference is made in the full privacy statement to "BNY Mellon Data Subjects" that this will also include the collection of information about you if you are a shareholder of, or prospective investor in, the Company.

Your personal information will be used for the following purposes:

- for the provision of financial services and the administration of your investment/s;
- to confirm or update client records and to manage your relationship with us;
- to carry out 'know-your-customer checks', anti-money laundering screening and other identity checks as required by law and to meet our regulatory obligations;
- to conduct due diligence and to screen against sanctions lists;
- for the prevention and detection of fraud and other crimes;
- for compliance with legal, regulatory and tax obligations and guidance;
- in connection with legal proceedings or for obtaining legal advice; and
- to analyse the performance of IT systems, monitor usage of resources and systems and to improve products, services and the usability of technology and tools.

Your personal information may be shared within the BNY Mellon Group to: The Bank of New York Mellon (International) Limited (as the administrator for the Company), BNY Mellon Investment Management EMEA Limited (as the global distributor and promoter of the Company) and any sub-distributors it may have appointed within the BNY Mellon Group, BNY Mellon entities with whom you may have terms of business in support of your investment in the Company (e.g. a fee agreement that facilitates an alternative charging structure within a share class) and other or successor BNY Mellon service providers that may be appointed from time-to-time to provide services in connection with the Company.

Your personal information may be shared with selected third parties including the depositary to the Company, the auditors to the Company and to BNYMFM, legal and other professional advisers to the Company and to BNYMFM, appropriate authorities (including, without limitation, any tax or regulatory authorities or relevant government departments), and agencies and organisations working to prevent fraud in financial services or similar service providers.

BNYMFM will transfer or store your personal information in other countries, including those outside Europe, under the protection of appropriate safeguards.